

# NIPOMO COMMUNITY SERVICES DISTRICT

MONDAY, NOVEMBER 24, 2008

1:00 P. M.

## **SPECIAL MEETING NOTICE & AGENDA** **SUPPLEMENTAL WATER PROJECT DESIGN & CONSTRUCTION** **COMMITTEE**

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### COMMITTEE MEMBERS

ED EBY, CHAIR  
CLIFFORD TROTTER, MEMBER

### PRINCIPAL STAFF

BRUCE BUEL, GENERAL MANAGER  
LISA BOGNUDA, ASSIST. GENERAL MANAGER  
DONNA JOHNSON, BOARD SECRETARY  
JON SEITZ, GENERAL COUNSEL  
PETER SEVCIK, DISTRICT ENGINEER

### MEETING LOCATION

District Board Room  
148 S. Wilson Street  
Nipomo, California

1. **CALL TO ORDER, ROLL CALL AND FLAG SALUTE**  
ACTION RECOMMENDED: None
2. **REVIEW STATUS OF SUPPLEMENTAL WATER DEVELOPMENT**  
ACTION RECOMMENDED: Forward Recommendations to Board
3. **REVIEW DRAFT "BIDDING STRATEGY" TECHNICAL MEMORANDUM**  
ACTION RECOMMENDED: Forward Recommendation to Board
4. **DISCUSS OPTIONS TO PAY FOR WIP DEBT SERVICE**  
ACTION RECOMMENDED: Forward Recommendations to Board
5. **SET NEXT COMMITTEE MEETING**  
ACTION RECOMMENDED: Set Time/Date for Next Committee Meeting
6. **ADJOURN**

\*\*\* End Special Meeting Notice \*\*\*

TO: COMMITTEE MEMBERS  
FROM: BRUCE BUEL *BBB*  
DATE: NOV. 21, 2008

**AGENDA ITEM**  
**2**  
**NOV. 24, 2008**

**REVIEW SUPPLEMENTAL WATER DEVELOPMENT STATUS**

**ITEM**

Review status of supplemental water development [Forward Recommendations to Board].

**BACKGROUND – WATERLINE INTERTIE PROJECT**

Mike Nunley from AECOM (Boyle Engineering) is scheduled to review recent progress on the design at the Committee Meeting. AECOM's monthly update is published as agenda item C-3 in the Packet for the 11/26/08 Board Meeting.

AECOM has submitted its draft of the "Bidding Strategy" Technical Memorandum for Committee Review (See agenda item 3 in this packet). AECOM also submitted a rough draft of the "Chloramination" Technical Memorandum, but that Technical Memorandum needs to be edited prior to Committee review. Staff will request that the Committee set a subsequent meeting to review this Technical Memorandum (See Agenda Item 5 in this packet).

The Wallace Group has submitted its draft of the Assessment District Feasibility Report for review by this committee (See Agenda Item 4 in this packet) and the Board on Nov 26, 2008.

Staff and AECOM have been meeting with property owners within the pipeline corridor to negotiate access.

Staff is scheduled to discuss negotiations with the City of Santa Maria regarding the Final Agreement in closed session at the 11/26/08 Board Meeting.

DWA submitted the project Draft Environmental Impact Report on November 18, 2008. Staff forwarded the Draft EIR to the State Clearinghouse along with a Notice of Completion on November 19, 2008. Staff has also transmitted a Notice of Completion to all trustee and responsible agencies as well as each party on the Scoping List for the Notice of Preparation.

**BACKGROUND – DESALINATION**

Staff is monitoring the progress of the South County Sanitation District regarding their desalination project and the City of Santa Barbara regarding the City's decision to sell a portion of their potential production. SCSD has yet to set a meeting to discuss their preliminary results. The Santa Barbara's City Council did commission a study on activation of the existing desalination works, but the consultant has yet to publish their report.

**RECOMMENDATION**

Staff recommends that the Committee receive the staff updates and provide feedback.

**ATTACHMENT- NONE**

TO: COMMITTEE MEMBERS

FROM: BRUCE BUEL 

DATE: NOV. 21, 2008

**AGENDA ITEM**

**3**

**NOV. 24, 2008**

REVIEW DRAFT "BIDDING STRATEGY" TECHNICAL MEMORANDUM

**ITEM**

Review Draft "Bidding Strategy" Technical Memorandum [Forward Recommendations to Board].

**BACKGROUND**

Attached is a copy of AECOM's draft "Bidding Strategy" Technical Memorandum.

**RECOMMENDATION**

Staff recommends that the Committee discuss the Technical Memorandum, provide feedback, and forward a copy to MNS Engineers (our Construction Manager) for review and feedback. A revised version of this TM will be incorporated into the 30% design report that will be reviewed by the Committee and the Board in early 2009.

**ATTACHMENT –**

- DRAFT BIDDING STRATEGY TECHNICAL MEMORANDUM

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## Memorandum

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Date: November 19, 2008  
To: Bruce Buel, General Manager - Nipomo Community Services District  
From: Michael K. Nunley, PE  
Subject: Technical Memorandum No. 2 - Project Bidding Strategy

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Distribution: Peter Sevcik, PE

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This Memorandum is our submittal for Task Group 1, Task 102, of the Waterline Intertie Project Design agreement between AECOM and Nipomo Community Services District. AECOM's scope of work is to provide an overview of recommendations for bidding the project, including recommendations for multiple bid packages and optimizing the bid climate through press releases, workshops, and timing of the bid release.

### Bid Packages

The following criteria were considered in establishing discrete bid packages for this project:

- Location of the work items in relation to each other
- Unique equipment and experience required for performance of the river crossing
- Need to provide as few points of coordination and responsibility as possible for each project site
- Desire to standardize new chloramination systems at each wellhead

In order to best meet these criteria, AECOM recommends dividing the project as follows:

Table 1 – Proposed Bid Packages

Bid Package	Title	List of Work Items
1	Santa Maria River Water Main Crossing	River crossing pipeline and casing pipe (if required)
2	Nipomo Area Pipeline Improvements	Frontage Road water main Southland Road water main Oakglen Avenue water main Darby Lane water main Orchard Avenue water main Highway 101 Crossing at Darby & Grande Pressure reducing valve stations (5 total) including valves, telemetry, controls, and instrumentation (system integration to be performed in Bid Package 4) Isolation valves
3	Blosser Road Water Main and Flow Meter	Blosser Road water main (between Taylor and Atlantic) Flowmeter and telemetry Water main to the Santa Barbara County Flood Control levee Jack and bore underneath the levee Water main to the south end of the river crossing (across existing agricultural land)
4	Joshua Road Pump Station and Reservoir; Wellhead Chloramination Improvements	Water main from north end of river crossing to Joshua Road Reservoir Reservoir Pump station building and mechanical equipment Landscaping Onsite piping and valves, including piping between reservoir and pump station and discharge piping from pump station to Joshua Road Chloramination equipment including chemical storage tanks, feed pumps, and instrumentation Onsite electrical systems, instrumentation, and controls <u>Wellhead Improvements:</u> Upgrade existing chlorination storage and chemical feed pumping systems at Black Lake #4, Sundale, Via Concha, and Eureka wells Electrical, controls, and instrumentation for new metering pumps and automated feed systems New chemical storage buildings System integration with pressure reducing valve and flowmeter telemetry (equipment to be installed by others)

### Prequalification of Contractors

AECOM recommends prequalifying only the river crossing contractors (whether the project is HDD or open trench) and not requiring prequalification for the other bid packages since many contractors are capable of performing the other work items. At this point, we are assuming that HDD construction will be an acceptable installation technique, but this will be confirmed in the Geotechnical Report for HDD (Technical Memorandum #1).

The prequalification process for the river crossing contractors will include development and issuance of a Request for Qualifications (RFQ); and a field review and mandatory prequalification conference. The RFQ will include a 30% plan set, preliminary technical specifications, and project references. Attached is a similar RFQ prepared for another horizontal directional drilling (HDD) project for the District's consideration. If the format and requirements are acceptable, AECOM anticipates following a similar approach for this project.

After the prequalification process is completed, the number of contractors will be narrowed to a short-list for issuance of the final contract documents.

It is assumed the prequalification work will begin after the final Concept Report is submitted and accepted by the Board.

### Press Releases and Contact

In the current construction climate, many contractors around the state will be interested in the project. In addition, the potential HDD contractors from around the country are likely to closely follow this project.

AECOM recommends sending the Project Narrative and a project schedule to the Ventura County and San Luis Obispo County Builders Exchange and the Santa Barbara County and Santa Maria Valley Contractors Association after the final Concept Design Report is completed. Interested contractors should be directed toward the District to provide contact information. This contact information will be collected and used for sending notices of preproposal meetings and bid advertisements.

All notices and announcements should be kept on the District's webpage. AECOM recommends putting this information under a "Contractors" tab that is shown prominently on the District's home page.

### Bid Process

As project design proceeds, AECOM will work with District staff and the Construction Management Team to determine the appropriate time to bid the project. At this time, it appears the project should be bid as soon as design plans are completed. Bid packages should be provided at the Santa Maria Valley Contractors Association and SLO County Builders Exchange for review by contractors.

AECOM recommends scheduling one preproposal meeting about the project, and covering all bid packages at that time. Many of the contractors are likely to propose on multiple packages and many vendors will be on several teams. This will give the contractors a general project understanding and comprehension of the relationship between the individual bid packages.

It is assumed the bid process for each package (other than Bid Package 1 – River Crossing) would follow this general schedule:

	Weeks											
	1	2	3	4	5	6	7	8	9	10	11	12
Bid Advertisement												
Bid Analysis and Recommendation												
Bid Award and Contract Finalization												

In the latest Project Schedule (see attached), notification, bidding, and award of bids is scheduled to require approximately 5 ½ months for Bid Packages 2 through 4. Bid Package 1 (River and Levee Crossing) will require approximately 5 ½ months for prequalification, notification, bidding, and award of bids. In order to

facilitate review by District staff and consulting team members, AECOM recommends staggering the bid opening dates by one to two weeks. The general timeline described in the latest Project Schedule is adequate.

The schedule for bid packages will be developed after the Concept Design Report is finalized. AECOM will perform the following bid-phase services to support the District:

- Provide plans and specifications for Electronic Clearinghouse and 20 copies of construction documents per bid package;
- Organize and attend the preproposal meeting
- Maintain a list of bidders for distributing addenda
- Respond to inquiries from bidders
- Prepare, issue, and circulate addenda
- Assist the District in bid review and provide recommendations for award

### **Conclusion**

AECOM recommends following the strategy described above for soliciting bids and procuring contractors. However, we would be very interested in receiving comments from the District's Construction Management team and will also expect to receive comments and questions from District Staff, the Supplemental Water Committee, and District Board.

As such, AECOM asks that you review the recommendations provided herein and provide comments for incorporation in the draft Concept Design Report, which will include "final" versions of all Technical Memoranda completed as part of the Concept Design phase of the project.

We look forward to receiving your comments and discussing the bidding strategy at your earliest convenience.

Yours Sincerely,



Michael K. Nunley

Attachment 1 - RFQ for Inverted Siphon No. 7 Replacement Project, Ojai Sanitary District (AECOM Engineering Corporation, 2005)

Attachment 2 - Project Schedule

**RFQ for Inverted Siphon No. 7 Replacement Project**  
Ojai Sanitary District (AECOM, 2005)



# Notice Inviting Pre-Qualification

Notice is hereby given that pre-qualification questionnaires to “pre-qualify” for the right to bid the Inverted Siphon No. 7 Replacement Project will be received by the Ojai Valley Sanitary District at their office located at 1072 Tico Road, Ojai, California before September 23, 2005, 4:00 p.m. The Inverted Siphon No. 7 Replacement Project includes construction of an inverted siphon beneath the San Antonio Creek to replace the previous siphon that was damaged/destroyed during the winter storms of 2005. The construction of the crossing will consist of Horizontal Directional Drilling (HDD) approximately 1650-feet across the San Antonio Creek and along Old Creek Road. The pipeline will be approximately 100-feet deep at the low point in the siphon. The estimate project cost is in the \$2-3 M range. The anticipated schedule includes bids in mid-October 2005, award in October 2005, commence construction in early November 2005, and complete construction before January 1, 2006.

Pre-qualification questionnaires can be obtained from the District. Pre-qualification questionnaires shall be completed and enclosed in an envelope, sealed and clearly labeled with project title and name of contractor. Contractors seeking “pre-qualification” shall be Class A licensed within the state of California and shall be qualified with a minimum of 10 years experience in the installation of pipelines using Horizontal Directional Drilling as the method of installation. In order to be considered for “pre-qualification” on this project, contractors shall include the following information within the pre-qualification questionnaires:

- A list of at least five (5) Horizontal Directional Drilling Projects, each with a minimum length of 1000 L. F. of installed pipe with a minimum bore diameter of 24 inches using HDD as the method of installation.
- Said reference projects shall have been completed by the proposed contractor within the last ten (10) years.
- One of the referenced projects shall have utilized driven steel casing material.
- One of the referenced projects shall have been in comparable ground conditions to those anticipated on the project (gravelly alluvium between 25 and 75 feet deep and bedrock below 75 feet deep).
- The proposed project superintendent shall have at least 10 years of HDD experience and shall have managed at least 3 HDD projects in similar ground with drill lengths of 1000 feet or more.
- The proposed HDD operator and locator instrument operator shall have at least 10 years experience in the installation of pipelines using HDD as the method of installation. The operators shall have successfully completed a minimum of 5 pipeline projects each with a minimum of 1000 feet of installed pipe with a minimum bore diameter of 24 inches.
- The list of projects shall include:
  - The name and location of the project, the owner’s name, address, contact person and telephone number.
  - The Architect and/or Engineer’s name, address, contact person and telephone number.
  - The Construction Manager’s name, address, contact person and telephone number.
  - A description of the project, scope of work performed, total value of construction, total value of change orders, construction duration, time extensions granted.
  - The name of the proposed project superintendent and proposed HDD operator and locator instrument operator and their resumes.

The District will apply a uniform system of rating pre-qualification respondents on objective criteria consistent with Department of Industrial Relations recommendations, on the basis of the completed questionnaires and financial statements to determine the qualified bidders list.

The District may refuse to grant pre-qualification where the requested information is incomplete or not received by September 23, 2005, 4:00 p.m. There is no appeal from a refusal for an incomplete or late application.

Where a timely and completed application results in a rating below that necessary to pre-qualify, an appeal can be made. An appeal is begun by the Contractor delivering notice to the District of its appeal of the decision with respect to its pre-qualification rating, no later than ten business days after the Notice of Determination is issued. Without a timely appeal, the Contractor waives any and all rights to challenge to decision of the District, whether by administrative process, judicial process, or any other legal process or proceeding.

If the Contractor gives the required notice of appeal and requests a hearing, the hearing shall be conducted so that it is concluded within fourteen business days after the District's receipt of the notice of appeal. The hearing shall be an informal process conducted by a panel to which the District has delegated responsibility to hear such appeals (the "Appeals Panel"). At or prior to the hearing, the Contractor will be advised of the basis for the District's pre-qualification determination. The Contractor will be given the opportunity to present information and present reasons in opposition to the rating. After the conclusion of the hearing, the Appeals Panel will render its decision. It is the intention of the District that the date for the submission and opening of bids will not be delayed or postponed to allow for completion of an appeal process.

The questionnaires and financial statements shall not be public records and shall not be open to public inspection; however, records of the names of the contractors applying for pre-qualification status shall be public records subject to disclosure.

The information given by contractors seeking pre-qualification is provided with the understanding that the intentional providing of false information is grounds for disqualification.

To request a Pre-Qualification questionnaire, contact Bob Stein of Boyle Engineering Corporation at (805) 644-9704.

Dated this 1st day of September 2005.

Ojai Valley Sanitary District, California



John K. Correa, General Manager

# Ojai Valley Sanitary District Inverted Siphon No. 7 Replacement

## Project Information

Ojai Valley Sanitary District owns and operates the wastewater collection system for the Ojai Valley and adjacent areas. During the heavy winter storms of 2005 portions of the sewer system were severely damaged. On January 9, 2005 portions of pipeline downstream of Siphon No. 7 were washed out or severely damaged due to flooding on San Antonio Creek. This pipeline conveys essentially all of the sewage from the Town of Ojai. Within a short time, temporary pipelines were installed and service was restored. Currently, a temporary pipeline is buried on the east side of Old Creek Road, replacing the pipelines that were damaged or destroyed in the flooding.

The Ojai Valley Sanitary District (OVSD) plans to replace the damaged and destroyed portions of pipeline, and construct a new inverted siphon beneath the creek, installing the facilities at sufficient depth and with other protective measures to protect it from future flood events. Siphon No. 7 (prior to damage) conveyed sewer flows from Manhole 26 to Manhole 25; the new siphon will transmit sewer flows a greater distance.

The start point is just downstream of existing Manhole 27 and will convey flows under the creek and return to gravity flow near Manhole 22 (see Exhibit 2). The total project, including both siphon and gravity-flow pipelines, will extend for approximately 1800 feet starting from existing Manhole 27 (located on Creek Road, approximately 3200 feet northeast of the Creek Road/Hwy 33 intersection) to just downstream of existing Manhole 22 (located in Old Creek Road to the south-southwest).

Construction of the siphon portion of the project will be performed using the horizontal directional drilling (HDD) process. At the low point, the pipeline may be more than 100 feet deep, founded in the bedrock of the Monterey Foundation. On the southern end of the siphon, loose sandy-gravelly material will be encountered, which may necessitate the driving of a larger casing to prevent the HDD from caving and to prevent the excess loss of drilling fluid.

At the upstream side of the siphon, there will be a hydraulic structure capable of diverting flows to the various carrier pipes. This structure will also include provisions for launching cleaning "pigs" into the siphon pipes from inside the structure.

At the downstream side of the siphon, another hydraulic structure will be used to channel the flows from the various carrier pipes into a single gravity flow pipe.

The anticipated project schedule is completion of design in September 2005, bid in mid October 2005, commence construction November 1, 2005, and complete construction prior to January 1, 2006.

HDD contractors interested in the project will need to complete the District's prequalification requirements to become eligible to submit a bid for the project.

## Subsurface Conditions

Materials encountered by the drill holes advanced at the OVSD Siphon No. 7 site consisted of artificial fill materials, alluvial sediments, and bedrock of the Monterey Formation.

### **Artificial Fill (af)**

The artificial fill materials observed in the vicinity of the proposed crossing project consisted of asphalt concrete and base materials along Old Creek Road and loose fine- to coarse-grained soil materials with cobbles, rock fragments, organics, and construction debris associated with backfill of low areas eroded by storm flows south and east of San Antonio Creek. The fill materials appeared to have been dumped and loosely spread about the site. Also, OVSD has indicated that a buried rock-filled gabion structure is located creek-side of Old Creek Road.

Artificial fill materials were encountered in all of the drill holes advanced for the Siphon No. 7 crossing study south of San Antonio Creek (DH-1 through DH-3, DH-5, and DH-6). Artificial fill materials were not encountered by DH-4 advanced on the northern side of the creek. The artificial fill materials encountered in the drill holes consisted of about 3 to 7 feet of loose silty sand with gravel and sand with gravel with varying amounts of cobbles, rocks, organic material, and debris.

### **Alluvium (Qal)**

The alluvial sediments encountered by the drill holes consisted predominately of loose granular sediments that caved readily during open-hole (mud-rotary) drilling. ODEX (casing advance) drilling techniques were subsequently employed to alleviate caving alluvial soil conditions.

Thickness of the alluvium interpreted from the drill holes and geophysical survey data ranges from less than 10 feet near the valley walls to about 70 feet (+/- 20%) near the active channel. The granular materials sampled and interpreted from the drill cuttings and drilling characteristics consisted of sand, clayey sand, silty sand, sandy silt, gravel, cobbles, and boulders. Alluvial sediments observed in the creek channel consisted of sand with gravel and cobbles to greater than 3 feet in diameter.

### **Monterey Formation (Tm)**

The Monterey Formation bedrock consists of highly weathered, highly to extensively fractured, thinly interbedded siltstone and diatomaceous siltstone with lesser amounts of siliceous (well indurated) siltstone and sandstone. The project vicinity is characterized by north to northeast-trending geologic structure (synclines, anticlines, and faults) with bedrock that dips primarily to the southeast at about 30 to 65 degrees.

The Monterey Formation is a hydrocarbon-bearing/producing formation. Hydrocarbon and sulfur odors were noted and oil staining/seeps were observed in samples recovered during drilling.

### **Groundwater**

San Antonio Creek was flowing at the time of the August 2005 field exploration. Groundwater was encountered in each of the 6 drill holes advanced for the study at depths of between about 7 to 10 feet. The creek level and groundwater conditions will vary seasonally due to changes in runoff, storm conditions, rainfall and other factors. Groundwater should be anticipated during construction of the project.

# Ojai Valley Sanitary District

## Inverted Siphon No. 7 Replacement

### Pre-Qualification Questionnaire For Horizontal Directional Drilling Specialty Contractors

#### CONTACT INFORMATION

Firm Name: \_\_\_\_\_ Check One:  Corporation  
(as it appears on license)  Partnership  
 Sole Prop.

Contact Person: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

If firm is a sole proprietor or partnership:

Owner(s) of Company: \_\_\_\_\_

Contractor's License Number(s):

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## PART I. ESSENTIAL REQUIREMENTS FOR PRE-QUALIFICATION

1. Contractor possesses a valid and current California Contractor's license for the project or projects for which it intends to submit a bid.  
 Yes       No
2. Contractor has a liability insurance with a combined single policy limit per occurrence of \$2,000,000 for both Comprehensive and Broad Form General Liability.  
 Yes       No
3. Contractor has current workers' compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq.  
 Yes       No       Contractor is exempt from this requirement, because it has no employees
4. Have you attached your latest copy of a reviewed or audited financial statement with accompanying notes and supplemental information.<sup>1 3</sup>  
 Yes       No

**NOTE: A financial statement that is not either reviewed or audited is not acceptable. A letter verifying availability of a line of credit may also be attached; however, it will be considered as supplemental information only, and is not a substitute for the required financial statement.**

5. Have you attached a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states: (a) that your current bonding capacity is sufficient for the project for which you seek pre-qualification if you are seeking pre-qualification for a single project; or (if you are seeking pre-qualification valid for a year) (b) your current available bonding capacity?<sup>4</sup>  
 Yes       No

**NOTE: Notarized statement must be from the surety company, not an agent or broker.**

6. Has your contractor's license been revoked at any time in the last five years?<sup>2</sup>  
 Yes       No

<sup>1</sup> A "no" answer to question 4 will not be disqualifying if the contractor is exempt from complying with question 4, for reasons explained in footnote 3.

<sup>2</sup> A contractor disqualified solely because of a "yes" answer given to question 6, 7, or 9 may appeal the disqualification and provide an explanation for the relevant circumstances during the appeal procedure.

<sup>3</sup> Public contract Code section 20101(3) exempts from this requirement a contractor who has qualified as a small business pursuant to Government Code section 14837(d)(1), if the bid is "no more than 25 percent of the qualifying amount provided in section 14837(d)(1)." As of January 1, 2001, the qualifying amount is \$10 million, and 25 percent of that amount, therefore, is \$2.5 million.

<sup>4</sup> An additional notarized statement from the surety may be requested by *Public Entity* at the time of submission of a bid, if this pre-qualification package is submitted more than 60 days prior to submission of the bid.

7. Has a surety firm completed a contract on your behalf, or paid for completion because your firm was default terminated by the project owner within the last five (5) years? <sup>2</sup>

Yes       No

8. At the time of submitting this pre-qualification form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?

Yes       No

If the answer is "Yes," state the beginning and ending dates of the period of debarment:

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9. At any time during the last five years, has your firm, or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract? <sup>2</sup>

Yes       No

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<sup>2</sup> A contractor disqualified solely because of a "yes" answer given to question 6, 7, or 9 may appeal the disqualification and provide an explanation for the relevant circumstances during the appeal procedure.

## PART II PRE-QUALIFICATION QUESTIONS

### Questions about History of the Business and Organizational Performance (16 questions)

1. How many years has your organization been in business in California as a contractor under your present business name and license number? \_\_\_\_\_ Years
2. Is your firm currently the debtor in a bankruptcy case?  
 Yes       No
3. Was your firm in bankruptcy any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question 7, above).  
 Yes       No
4. Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years?  
 Yes       No
5. At any time in the last five years, has your firm been assessed and paid liquidated damages after completion of a project, under a construction contract with either a public or private owner?  
 Yes       No
6. In the last five years has your firm, or any firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?  
 Yes       No

**NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.**

7. In the last five years, has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?  
 Yes       No

**NOTE: The following two questions refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or subcontractor. You need not include information about "pass-through" disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes about amounts of less than \$50,000.**

8. In the past five years, has any claim **against** your firm concerning your firm's work on a construction project, been **filed in court or arbitration**?  
 Yes       No



9. In the past five years, has your firm made any claim against a project owner concerning work on a project or payment for a contract, and **filed that claim in court or arbitration?**  
 Yes       No
10. At any time during the past five years, has any surety company made any payments on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm's behalf in connection with a construction project, either public or private?  
 Yes       No
11. In the last five years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?  
 Yes       No
12. Has your firm, or any of its owners, officers, or partners ever been found liable in a civil suit, or found guilty in a criminal action, for making any false claim or material misrepresentation to any public agency or entity?  
 Yes       No
13. Has your firm, or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?  
 Yes       No
14. Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?  
 Yes       No
15. If your firm was required to pay a premium of more than one per cent for a performance and payment bond on any project(s) on which your firm worked at any time during the last three years, state the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so.  
\_\_\_\_\_ %
16. During the last five years, has your firm ever been denied bond credit by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?  
 Yes       No

**Questions about compliance with safety, workers compensation,  
prevailing wage and apprenticeship laws.**  
(11 questions)

1. Has CAL OSHA cited and assessed penalties against your firm for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?  
 Yes       No

**Note: If you have filed an appeal of a citation and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.**

2. Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years?

Yes       No

If yes, attach a separate signed page describing each citation.

**Note: If you have filed an appeal of a citation and the appropriate appeals Board has not yet ruled on your appeal, you need not include information about it.**

3. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five years?

Yes       No

**NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.**

4. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

\_\_\_\_\_

5. List your firm's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:

Current year: \_\_\_\_\_

Previous year: \_\_\_\_\_

Year prior to previous year: \_\_\_\_\_

If your EMR for any of these three years is or was 1.00 or higher, you may, if you wish, attach a letter of explanation.

**NOTE: An Experience Modification Rate is issued to your firm annually by your workers' compensation insurance carrier.**

6. Within the last five years, has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance?

Yes       No

7. Has there been more than one occasion during the last five years on which your firm was required to pay either back wages or penalties for your own firm's failure to comply with the state's prevailing wage laws?

Yes       No

**NOTE: This question refers only to your own firm's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.**

8. During the last five years, has there been more than one occasion on which your own firm has been penalized or required to pay back wages for failure to comply with the **federal** Davis-Bacon prevailing wage requirements?  
 Yes       No
9. Provide the **name, address and telephone number** of the apprenticeship program sponsor(s) (approved by the California Division of Apprenticeship Standards) that will provide apprentices to your company for use on any public work project for which you are awarded a contract by *[Public Entity]*.  
\_\_\_\_\_  
\_\_\_\_\_
10. If your firm operates its own State-approved apprenticeship program:
- a. Identify the craft or crafts in which your firm provided apprenticeship training in the past year.
  - b. State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
  - c. State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.
11. At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?  
 Yes       No.  
If yes, provide the date(s) of such findings, and attach copies of the Department's final decision(s).

**NOTE: You may omit reference to any incident that occurred prior to January 1, 1998 if the violation was by a subcontractor and your firm, as general contractor on a project, had no knowledge of the subcontractor's violation at the time they occurred**

**Part III PROJECT EXPERIENCE (Project 1)**

**Questions concerning recent construction projects completed:**

1. Contractor shall provide information about five (5) Horizontal Directional Drilling projects completed within the last 10 years, each of which shall incorporate a minimum drilling length of 1000-feet and a minimum diameter of 24-inches. Names and references must be current and verifiable. Use separate sheets of paper that contain all of the following information:

Project Name: \_\_\_\_\_

Location: \_\_\_\_\_

Owner:

Owner Contact (name and current phone number):

\_\_\_\_\_  
\_\_\_\_\_

Architect or Engineer: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

Architect or Engineer Contact (name and current phone number):

\_\_\_\_\_  
\_\_\_\_\_

Construction Manager (name and current phone number):

\_\_\_\_\_  
\_\_\_\_\_

Total Value of Construction: \_\_\_\_\_

Total Value of Change Orders: \_\_\_\_\_

Original Construction Contract Duration: \_\_\_\_\_

Original Contract Completion Date: \_\_\_\_\_

Actual Date of Completion: \_\_\_\_\_

Scope of Work Performed:

Provide a description of the work performed including the following work items. Include equipment manufacturers and suppliers, sub-contractors, special construction methods, etc.

Horizontal Directional Drilling Length:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Horizontal Directional Drilling Diameter

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Casing Material

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Ground Conditions

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Superintendent

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HDD Operator

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**Part III PROJECT EXPERIENCE (Project 2)**

**Questions concerning recent construction projects completed:**

1. Contractor shall provide information about five (5) Horizontal Directional Drilling projects completed within the last 10 years, each of which shall incorporate a minimum drilling length of 1000-feet and a minimum diameter of 24-inches. Names and references must be current and verifiable. Use separate sheets of paper that contain all of the following information:

Project Name: \_\_\_\_\_

Location: \_\_\_\_\_

Owner:

Owner Contact (name and current phone number):

\_\_\_\_\_  
\_\_\_\_\_

Architect or Engineer: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

Architect or Engineer Contact (name and current phone number):

\_\_\_\_\_  
\_\_\_\_\_

Construction Manager (name and current phone number):

\_\_\_\_\_  
\_\_\_\_\_

Total Value of Construction: \_\_\_\_\_

Total Value of Change Orders: \_\_\_\_\_

Original Construction Contract Duration: \_\_\_\_\_

Original Contract Completion Date: \_\_\_\_\_

Actual Date of Completion: \_\_\_\_\_

Scope of Work Performed:

Provide a description of the work performed including the following work items. Include equipment manufacturers and suppliers, sub-contractors, special construction methods, etc.

Horizontal Directional Drilling Length:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Horizontal Directional Drilling Diameter

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Casing Material

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Ground Conditions

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Superintendent

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HDD Operator

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**Part III PROJECT EXPERIENCE (Project 3)**

**Questions concerning recent construction projects completed:**

1. Contractor shall provide information about five (5) Horizontal Directional Drilling projects completed within the last 10 years, each of which shall incorporate a minimum drilling length of 1000-feet and a minimum diameter of 24-inches. Names and references must be current and verifiable. Use separate sheets of paper that contain all of the following information:

Project Name: \_\_\_\_\_

Location: \_\_\_\_\_

Owner: \_\_\_\_\_

Owner Contact (name and current phone number):

\_\_\_\_\_  
\_\_\_\_\_

Architect or Engineer: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

Architect or Engineer Contact (name and current phone number):

\_\_\_\_\_  
\_\_\_\_\_

Construction Manager (name and current phone number):

\_\_\_\_\_  
\_\_\_\_\_

Total Value of Construction: \_\_\_\_\_

Total Value of Change Orders: \_\_\_\_\_

Original Construction Contract Duration: \_\_\_\_\_

Original Contract Completion Date: \_\_\_\_\_

Actual Date of Completion: \_\_\_\_\_

Scope of Work Performed:

Provide a description of the work performed including the following work items. Include equipment manufacturers and suppliers, sub-contractors, special construction methods, etc.

Horizontal Directional Drilling Length:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



Horizontal Directional Drilling Diameter

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Casing Material

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Ground Conditions

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Superintendent

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HDD Operator

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**Part III PROJECT EXPERIENCE (Project 4)**

**Questions concerning recent construction projects completed:**

1. Contractor shall provide information about five (5) Horizontal Directional Drilling projects completed within the last 10 years, each of which shall incorporate a minimum drilling length of 1000-feet and a minimum diameter of 24-inches. Names and references must be current and verifiable. Use separate sheets of paper that contain all of the following information:

Project Name: \_\_\_\_\_

Location: \_\_\_\_\_

Owner:

Owner Contact (name and current phone number):

\_\_\_\_\_

Architect or Engineer: \_\_\_\_\_

\_\_\_\_\_

Architect or Engineer Contact (name and current phone number):

\_\_\_\_\_

Construction Manager (name and current phone number):

\_\_\_\_\_

Total Value of Construction:

\_\_\_\_\_

Total Value of Change Orders:

\_\_\_\_\_

Original Construction Contract Duration:

\_\_\_\_\_

Original Contract Completion Date:

\_\_\_\_\_

Actual Date of Completion:

\_\_\_\_\_

Scope of Work Performed:

Provide a description of the work performed including the following work items. Include equipment manufacturers and suppliers, sub-contractors, special construction methods, etc.

Horizontal Directional Drilling Length:

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\_\_\_\_\_  
\_\_\_\_\_

Horizontal Directional Drilling Diameter

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Casing Material

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Ground Conditions

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Superintendent

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HDD Operator

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**Part III PROJECT EXPERIENCE (Project 5)**

**Questions concerning recent construction projects completed:**

1. Contractor shall provide information about five (5) Horizontal Directional Drilling projects completed within the last 10 years, each of which shall incorporate a minimum drilling length of 1000-feet and a minimum diameter of 24-inches. Names and references must be current and verifiable. Use separate sheets of paper that contain all of the following information:

Project Name: \_\_\_\_\_

Location: \_\_\_\_\_

Owner:

Owner Contact (name and current phone number):

\_\_\_\_\_  
\_\_\_\_\_

Architect or Engineer: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

Architect or Engineer Contact (name and current phone number):

\_\_\_\_\_  
\_\_\_\_\_

Construction Manager (name and current phone number):

\_\_\_\_\_  
\_\_\_\_\_

Total Value of Construction: \_\_\_\_\_

Total Value of Change Orders: \_\_\_\_\_

Original Construction Contract Duration: \_\_\_\_\_

Original Contract Completion Date: \_\_\_\_\_

Actual Date of Completion: \_\_\_\_\_

Scope of Work Performed:

Provide a description of the work performed including the following work items. Include equipment manufacturers and suppliers, sub-contractors, special construction methods, etc.

Horizontal Directional Drilling Length:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Horizontal Directional Drilling Diameter

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Casing Material

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Ground Conditions

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Superintendent

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HDD Operator

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**EXHIBIT-2**

OJAI VALLEY SANITARY DISTRICT  
 "INVERTED SIPHON #7 REPLACEMENT"

BOYLE ENGINEERING  
 PRELIMINARY PLAN  
 NOT FOR CONSTRUCTION

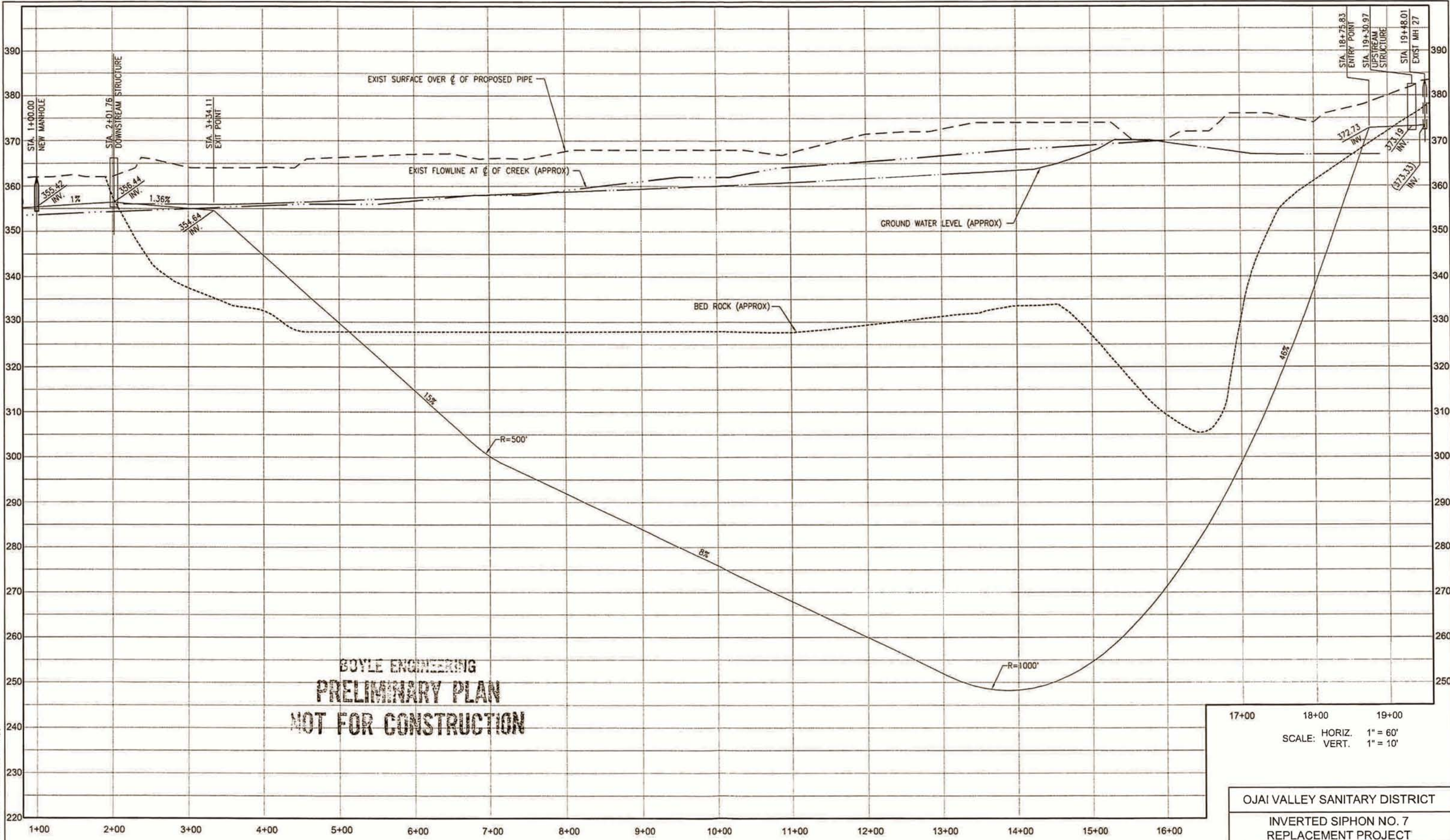
Copy of document found at www.NoNewWipTrak.com



USER: efzo  
 SOURCE: S:\Projects\00310117 - Inverted Siphon #7 - Ojai Valley Sanitary District - 2.dwg  
 DATE: Sep 01, 2005 10:20am

DWG: F:\siphon\00510101 Inverted Siphon\CAV\Plan\CA-002 PROF.dwg USER: hizez  
 DATE: Sep 01, 2005 10:27am PLOTS: C-BO IMAGES: D:\SD\_11\2003\_09

**BOYLE ENGINEERING  
 PRELIMINARY PLAN  
 NOT FOR CONSTRUCTION**



17+00 18+00 19+00  
 SCALE: HORIZ. 1" = 60'  
 VERT. 1" = 10'

OJAI VALLEY SANITARY DISTRICT  
 INVERTED SIPHON NO. 7  
 REPLACEMENT PROJECT  
 SIPHON PROFILE

REGISTERED PROFESSIONAL ENGINEER  
 ROBERT D. ELLIOTT  
 NO. C 38094  
 EXP. 12/31/07  
 STATE OF CALIFORNIA

**BOYLE**  
 ENGINEERING CORPORATION  
 5851 Thibe St. Suite 201  
 Ventura, California 91301  
 805-644-9704  
 WWW.BOYLEENGINEERING.COM

OJAI VALLEY  
 SANITARY DISTRICT

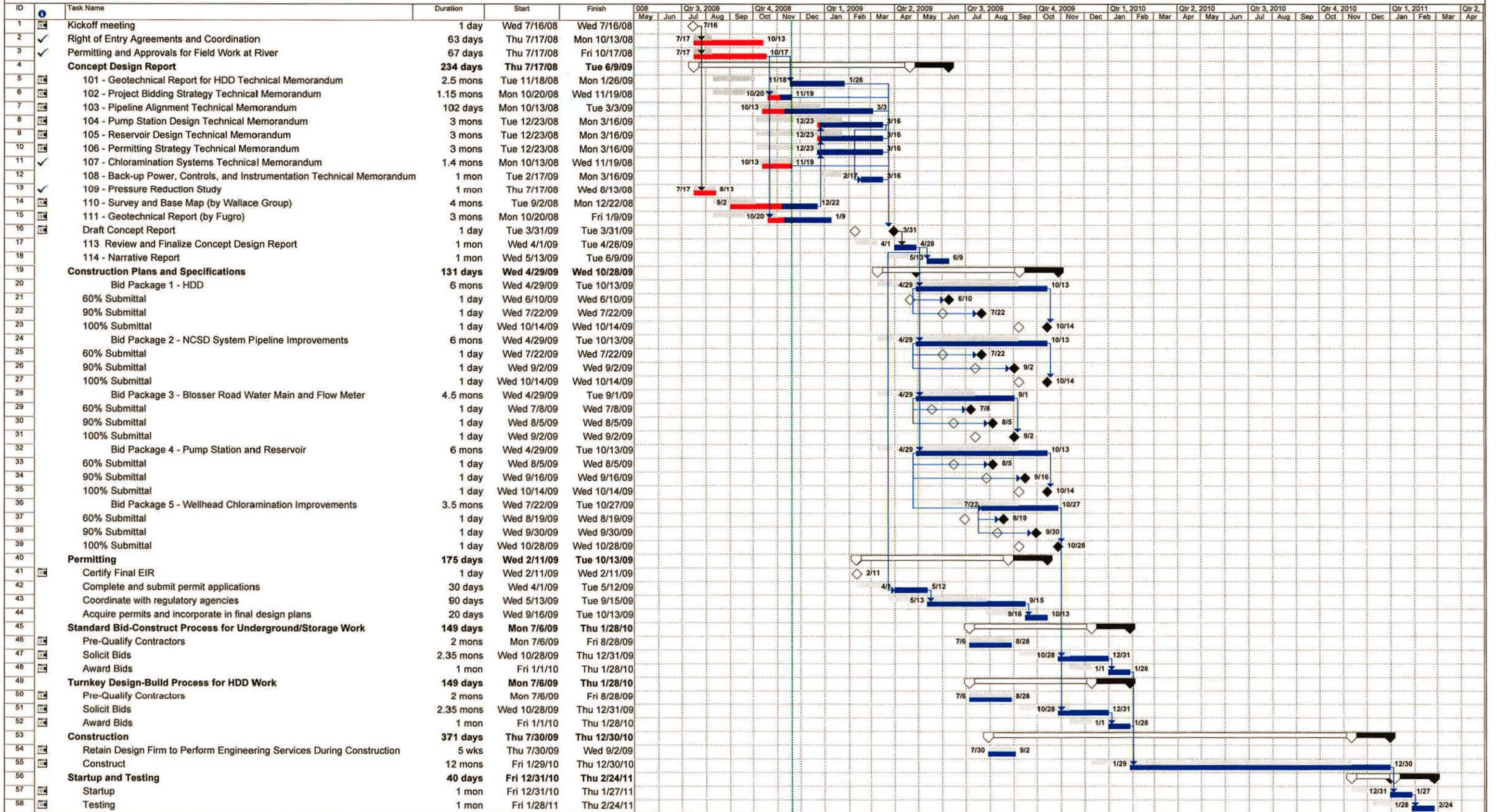
VERIFY SCALES  
 BAR IS ONE INCH  
 ON ORIGINAL DRAWING  
 IF NOT ONE INCH ON  
 THIS SHEET, ADJUST  
 SCALES ACCORDINGLY

REV	DATE	DESCRIPTION	APPROVED
1	9-1-05		

DESIGN	DRAWN	CHECKED	C.A. NO.	DRAWING NO.	SHEET
					C-2

## Project Schedule





Project: WIP Design Schedule  
Date: Wed 11/19/08

Task		Milestone		Rolled Up Task		Rolled Up Baseline		Split		Project Summary	
Progress		Baseline Milestone		Rolled Up Milestone		Rolled Up Baseline Milestone		Baseline Split		Group By Summary	
Baseline		Summary		Baseline Summary		Rolled Up Progress		External Tasks		Deadline	

TO: COMMITTEE MEMBERS

FROM: BRUCE BUEL 

DATE: NOV. 21, 2008

**AGENDA ITEM**

**4**

**NOV. 24, 2008**

DISCUSS OPTIONS TO PAY FOR WIP DEBT SERVICE

**ITEM**

Discuss options to pay for WIP debt service [Forward Recommendations to Board].

**BACKGROUND**

Please bring your copy of the Board Letter for Agenda Item E-1 from the 11/26/08 Board Packet.

**RECOMMENDATION**

Staff recommends that the Committee discuss the options and the staff recommendations set forth in the Board Letter and adopt Committee Recommendations to the Board by motion.

**ATTACHMENT – NONE**

T:\BOARD MATTERS\BOARD MEETINGS\BOARD LETTER\BOARD LETTER 2008\SWP COMMITTEE\081124\ITEM4.DOC

TO: COMMITTEE MEMBERS

FROM: BRUCE BUEL 

DATE: NOV. 21, 2008

**AGENDA ITEM**

**5**

**NOV. 24, 2008**

SET NEXT COMMITTEE MEETING

**ITEM**

Set next committee meeting [Set Time/Date].

**BACKGROUND**

Staff respectfully requests that the Committee set a meeting at 1pm on Monday December 15, 2008 to discuss the "Chloramination" Technical Memorandum.

**RECOMMENDATION**

Staff recommends that the Committee set a meeting at 1pm on Monday December 15, 2008

**ATTACHMENT** - NONE

T:\BOARD MATTERS\BOARD MEETINGS\BOARD LETTER\BOARD LETTER 2008\SWP COMMITTEE\081124\ITEM5.DOC